

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

(Not for Provisional or PCT cases)
WITH SIGNED DECLARATION

PATENT APPLICATION

Assistant Commissioner of Patents
Washington, D.C. 20231

NONPROVISIONAL
NON REISSUE

Herewith is the PATENT APPLICATION of
Inventor(s): UEMURA et al.

(Our Deposit Account No. 03-3975)

Our Order No. 31317 260548
C# M#

Title LIGHT-EMITTING SEMICONDUCTOR DEVICE USING
GROUP III NITRIDE COMPOUND

Atty. Dkt.: PM 270586 F99-144-US-3
M# Client Ref

including:

Date: April 27, 2000

1. Specification: 43 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 26 numbered claims
(a) ☒ Drawings: 10 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"

This is a reissue of Patent No. _____

5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# SN)

6. **AMEND the specification** please by inserting before the first line: -- This is a ☐ Continuation-in-Part
☐ Divisional ☒ Continuation ☐ Substitute Application (MPEP 201.09) of:

6(a) ☒ National Appln. No. 09/310,974 filed May 13, 1999. (M# 260548)

6(b) ☐ International Appln. No. filed

7. ☐ **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S.
Provisional Application No. 60/ _____, filed _____

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. ☐ Prior application is assigned to _____

by Assignment recorded _____ Reel _____ Frame _____

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11.

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|---------------|-----------------|-------------------|
| (1) 150532/1998 | May 13, 1998 | (2) 358549/1998 | December 17, 1998 |
| (3) 56357/1999 | March 4, 1999 | (4) | |
| (5) | | (6) | |
| (7) | | (8) | |
| (9) | | (10) | |

12. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / filed on _____

13. ☐ Attached: _____ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1) | | (4) | |
| (2) | | (5) | |
| (3) | | (6) | |

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☒ Attached: Form PTO-1449 and cited documents

17. ☒ Preliminary Amendment: Attached

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

| | | | | Large/Small Entity | | Fee Code |
|---|-----------------------------|------------|----|--------------------|-------|----------|
| 18. Basic Filing Fee | | | | \$690/\$345 | 690 | 101/201 |
| 19. Total Effective Claims | 26 | minus 20 = | *6 | x \$18/\$9 = | + 108 | 103/203 |
| 20. Independent Claims | 3 | minus 3 = | *0 | x \$78/\$39 = | + 0 | 102/202 |
| *If answer is zero or less, enter "0" | | | | | | |
| 21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application) | | | | + \$260/\$130 | + 0 | 104/204 |
| 22. | TOTAL FILING FEE ENCLOSED = | | | | \$798 | |
| 23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee | | | | + \$130 | + 0 | 139 |
| 24. If "assignment" box 6 is X'd, add recording fee | | | | + \$40 | + 40 | 581 |
| 25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No. | | | | + \$130 | + 0 | 122 |
| 26. | TOTAL FEE ENCLOSED = | | | | \$838 | |

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

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Intellectual Property Group**

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NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments